To: Legislative History

Policy Owner(s): Director of Benefits, Human Resources

Date: November 1, 2022

Re: Rule 5-200A: Scope, Eligibility and Limitations for Leaves of Absence (Health-Related) – University Employees (Other than UUHC Staff)

This rule revision expands the paid benefit for parental leave for staff and establishes vacation leave donation in policy. University employees have been eligible to donate vacation in accordance with IRS rules to other University employees in their same department for several years as an HR process; vacation donation has never been included in a rule or policy. Parental Leave is a valuable employee benefit and is being expanded as the cost to University units has been negligible.

Specific Changes:

- Parental leave will be expanded for children born or placed for adoption on or after January 1, 2023, to 50% of their regular work hours for a period of up to twelve weeks (from six weeks) or 100% of their regular work hours for a period of six weeks.
- Add requirement that employee must return to work for at least 30 days or repay the parental leave benefit (time limit matches that of FMLA and was not previously included in the rule).
- Clarification on adoption that the date parental leave begins is on the date of legal placement (not the actual date of adoption, which generally follows by six months).
- Vacation donation rules have been added and donating between departments will be allowed with department finance officer and dean/director approval.
- Parental leave section references new policy for postdoctoral fellows.
- Removed reporting requirements that are in the past.

Regulation Development Process:

- The vacation donation rules comply with IRS guidance to allow the donated sick leave to be taxable to the recipient and not the donor.
- University HR has coordinated with UUHC-HR to roll out changes to parental leave benefits at the same time (although the benefits are slightly different).
- The proposed Rule has been reviewed with the Senate Advisory Committee on Academic Policy.

The specific changes in context are included below.
# Proposed University of Utah Regulation Revision

## General Regulation Information

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<td>University Director of Benefits</td>
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<td>Policy officer(s)</td>
<td>University Chief Human Resources Officer</td>
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<tr>
<td>Contact person(s)</td>
<td>Wendy Poppleton</td>
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<tr>
<td>Other related regulations with proposed revisions</td>
<td>None</td>
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<tr>
<td>Planned effective date of revisions</td>
<td>November 8, 2022</td>
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<tr>
<td>Brief (1-2 sentence) description of revisions and reason for revision</td>
<td>Creates a process by which employees may donate vacation leave to other employees who need to use the leave as sick leave and expands the length of staff paid parental leave and includes the return to work requirement of 30 days.</td>
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## Consultation and Approval

### Groups and Stakeholders Consulted

- Senate Advisory Committee on Academic Policy
- Staff Council

### Required Approval Steps and Date (if applicable)

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<td>Council of Academic Deans (if applicable)</td>
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<td>November 7, 2022 (anticipated – only if determined to have a direct and significant impact on the University’s academic missions)</td>
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<td>Board of Trustees (if applicable)</td>
<td>Not required</td>
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Rule 5-200A: Scope, Eligibility and Limitations for Leaves of Absence (Health-Related) – University Employees (Other than UUHC Staff)

Revision 3 – Effective Date November 8, 2022

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Purpose and Scope

A. Purpose

To outline the Rules associated with the University's Policy 5-200 on health-related leaves of absence with or without pay, including Sick Leave, long term
medical leave, parental leave benefits and Family and Medical Leave Act leave for certain categories of University Employees (other than UUHC Staff).

B. Scope

This Rule applies for all University Employees, except for Staff Employees of the University Hospitals and Clinics (UUHC), who are instead governed by Rule 5-200B. For all Faculty Employees of the University, this Rule applies for all topics covered in this Rule, except Section III.C, regarding parental leave benefits.

Parental leave benefits for Tenure-line Faculty Employees are governed by Policies 6-315 and 8-002 rather than by Section III.C of this Rule.

Definitions

The following definitions apply for the limited purposes of this Rule.

A. The definitions of Employee-related terms provided in Policy 5-001 apply for purposes of this Rule, including the following: “Benefits-eligible Position “, “Employee”, “Full-time”, “Hourly”, and “Staff” or “Staff Member.”

B. "Child" means a biological, adopted or foster child, stepchild, legal ward, or a child of a person standing in loco parentis.

C. "Dean" is defined in Policy 2-005.

D. "Domestic Partner" means a person with whom the Employee meets the following criteria: (a) they are both over the age of eighteen (18); (b) they reside together in a permanent residence and have done so for at least six months and will remain members of the same household for the period in question; (c) they have a serious and committed relationship which they intend to continue indefinitely; (d) they are emotionally committed to one another and jointly responsible for the common welfare and financial obligations of their household or one is chiefly dependent upon the other for financial assistance; (e) they are not related in any way that would prohibit legal marriage; and (f) neither is legally married to anyone else or the domestic partner of anyone else.
E. “Eligible Caregiver” for the limited purpose of determining eligibility for Parental Leave benefits (Section III.C) means an Employee who provides the majority of child contact hours during the Employee’s regular working hours.

F. “Faculty” includes members of the Tenure-line, Career-line, Adjunct and Visiting Faculty, as those terms are defined in Policy 6-300.

G. “Immediate Family”, for the limited purposes of this Rule and Policy 5-200 only, means grandparent, Parent, Spouse, Domestic Partner, sibling, Child, and grandchild. In-laws, foster or step relatives in the relationships listed, including relatives of an Employee’s Spouse or Domestic Partner, are also included. Immediate Family also includes someone for whom the Employee is Next of Kin.

H. “Medical Emergency” for purposes of this Rule and Policy 5-200, means a major illness or other medical condition (e.g., heart attack, cancer, etc.) that requires a prolonged absence from work, including intermittent absences that are related to the same illness or condition.

I. “Next of Kin” is defined as the closest living relative.

J. “Non-Faculty Academic Employees” is defined in Policy 5-001, Comprising Academic Staff, Educational Trainees, Postdoctoral Fellows, and Medical Housestaff (as each of those categories is defined in Policy 6-309).

K. "Parent" means a biological parent or an individual who performed all parental duties in lieu of a biological parent.

L. "Responsible Officer" means the cognizant department chairperson, principal investigator, director, dean or University officer who directly supervises the Employee.

M. “Senior Administrative Officers” includes the University President, Vice Presidents, and other senior administrative officers of the University.

N. "Serious Health Condition" means an illness, injury, impairment, or physical or mental condition as defined in the Family and Medical Leave Act.
O. "Spouse" means an individual with whom an Employee has entered into marriage as defined or recognized under state law or as otherwise defined in the Family and Medical Leave Act regulations.

**Rule**

A. Sick Leave (Leave With Pay)

1. General

   a. Sick Leave is a benefit which provides payments in lieu of compensation to Employees in Full-time, Benefits-eligible Positions who are unable to perform the functions of their position because of an illness, injury, or Serious Health Condition or because they are needed to care for an Immediate Family Member with an illness, injury, or Serious Health Condition.

   b. Employees should arrange routine health care appointments during nonworking hours; however, in cases where these appointments must take place during regular working hours, Employees should try to schedule appointments at times that minimize disruption of work-place productivity. Employees in Hourly positions may charge the time used for these appointments to Sick Leave. Whenever possible, supervisors should be notified of such appointments at least 48 hours in advance.

   c. Employees who qualify for leave under the Family and Medical Leave Act (FMLA) may use Sick Leave for FMLA leave as provided under Section B below.

2. Eligibility and Accrual

   a. Employees holding Benefits-eligible Positions at 1.0 FTE accumulate Sick Leave at the rate of eight hours (one day) for each month of continuous service to a maximum accrual of 1040 hours (130 days). Employees holding Benefits-eligible Positions at .75 FTE or more but less than 1.0 FTE accumulate Sick Leave on a prorated basis.
b. Employees with an appointment for less than twelve months will be regarded as in the continuous service of the University only during the period covered by that appointment, regardless of the fact that compensation is paid over a longer (e.g., twelve-month) period.

3. Use of Sick Leave

a. Sick Leave accrual and usage for Staff will be recorded through the Payroll/Benefits System.

b. Sick Leave accrual and usage for Faculty and Non-Faculty Academic Employees will be tracked in accordance with department/college policies.

c. To meet business needs, departments may create internal policies for Staff Employees which restrict and govern the use of Sick Leave. Prior to implementation, departmental policies for Staff Members must be approved by Human Resources.

d. Sick Leave may not be used for vacation purposes; however, Employees may choose to use Vacation leave for absences eligible for Sick Leave. After all Sick Leave has been exhausted, additional absences due to an illness, injury, or a Serious Health Condition of the Employee or a member of the Employee’s Immediate Family, must be charged to earned Vacation Leave. Except as provided in Section III.B.3 below, Employees are required to exhaust Vacation and Sick Leave before taking unpaid leave.

e. The University or employing department may require certification of all absences charged to Sick Leave.

f. If an Employee is on Sick Leave for a period of more than three consecutive days or for a reason that qualifies as a Serious Health Condition, such leave may be designated as Family and Medical Leave Act leave in accordance with the FMLA. Human Resources will notify the
Employee, in writing, that such leave is being designated as FMLA leave within five business days of becoming aware that such Sick Leave may also qualify as FMLA leave. All of the conditions of FMLA leave, including certification and notice of intent to return to work, may apply to such leave. Under certain circumstances, the University may retroactively designate Sick Leave as FMLA leave. Leave under the FMLA is not an additional leave; rather, it is provided concurrently with Sick Leave.

4. Extended Sick Leave (With Pay)

In exceptional cases after all accrued Sick Leave and earned Vacation Leave time has been exhausted, Extended Sick Leave with pay may be authorized by the cognizant vice president upon recommendation of the Chief Human Resources Officer subject to the following limitations:

a. Extended Sick Leave is not available for the care of a member of the Employee's Immediate Family with an illness, injury, or Serious Health Condition.

b. Extended Sick Leave may not exceed thirty (30) days unless authorized by the President, upon recommendation of the Chief Human Resources Officer and cognizant vice president.

c. In no event shall Extended Sick Leave be granted for a period extending beyond the earliest day on which benefits under either the University's disability insurance program or the Social Security Act are expected to become payable to the Employee or, if the Employee is not covered thereunder, would become payable if the Employee were enrolled in long term disability coverage.

d. If the Employee returns to work before the expiration of authorized Extended Sick Leave, the unused portion of the leave will be canceled. The employing department is responsible for notifying Human Resources of the date of the Employee’s actual return to work.
5. **Vacation Donation**

   a. In accordance with IRS rules, notices and guidance, an Employee may donate Vacation Leave to another University Employee to be converted to Sick Leave and used in the event of the recipient’s own or the recipient’s Immediate Family Member’s Medical Emergency subject to the following requirements:

   i. The donating Employee and recipient should be in the same department; however, donors may donate Vacation Leave to recipients in other University (non-UUHC) departments if each Employee’s dean/director and each department’s authorized financial authority support the request and have agreed on how the donation will be funded.

   ii. The recipient must be in a regular (not temporary) position at 75% FTE or greater and must have used all of their own available paid leave time: including all sick, vacation, personal preference, and compensatory time.

   iii. Employees may only donate staff vacation time accrued as described in Rule R5-301A Section III.E. Sick leave, personal preference days, compensatory time, and vacation leave days accrued by Faculty Members and Administrative Officers or Non-Faculty Academic Employees as described in Rule R5-301A Sections III.B.C. and D cannot be donated.

   iv. All vacation donations are subject to department and cognizant vice president, or designee, approval. Departments may deny requests or limit the number of hours an Employee may donate. Requests must be submitted to the Division of Human Resources for final approval and processing.
v. Donated vacation hours shall be converted to the hourly rate of the Employee donating the hours, then converted back to hours based on the hourly rate of the recipient Employee.

6. Conversion of Sick Leave to Vacation

a. Employees (except Senior Administrative Officers, Deans, Faculty, and Non-Faculty Academic Employees) in Full-time, Benefits-eligible Positions, may convert up to four days (32 hours) of accrued Sick Leave to Vacation annually provided the following conditions are met: the Employee has accumulated 48 days (384 hours) of Sick Leave by January 1, and during the following calendar year the Employee uses fewer than four days of Sick Leave (32 hours).

b. The conversion will be calculated based on the number of Sick Leave days used during the calendar year:

i. If 0 days of Sick Leave are used, 4 days will be converted to Vacation with the remaining 8 days accrued as Sick Leave.

ii. If 1 day of Sick Leave is used, 3 days will be converted to Vacation with the remaining 8 days accrued as Sick Leave.

iii. If 2 days of Sick Leave are used, 2 days will be converted to Vacation with the remaining 8 days accrued as Sick Leave.

iv. If 3 days of Sick Leave are used, 1 day will be converted to Vacation with the remaining 8 days accrued as Sick Leave.

v. If 4 or more days of Sick Leave are used, the Employee is not eligible for the Sick Leave conversion.

c. Employees who have reached the maximum Sick Leave accrual of 130 days (1,040 hours) at the beginning of the year will have up to 4 days of Sick Leave converted to Vacation annually as long as they use 8 or fewer days of Sick Leave throughout the year.
d. Conversion of eligible Sick Leave to Vacation will occur automatically but may be returned to Sick Leave if Human Resources is directed to do so in writing by the Employee within a reasonable time following the conversion.

e. Conversion of Sick Leave will not increase maximum allowable limits on Vacation accrual. (See Policy 5-301: Vacation Policy.)

B. Family and Medical Leave Act (“FMLA”) Leave (Leave Without Pay)

1. Eligibility

   a. An Employee may request leave under the FMLA if they meet the eligibility requirements of the FMLA.

   b. An eligible Employee may request and will be granted unpaid FMLA leave for one or more eligible reasons specifically defined in the FMLA.

   c. An eligible Employee may take leave under the FMLA to care for a Parent, Spouse, Child, and/or the Employee’s Domestic Partner. For purposes of this Section B only, the term "Child" means a biological, adopted or foster child, stepchild, legal ward or a child of a person standing in loco parentis, who is either under the age of eighteen or over the age of eighteen and incapable of self-care on a daily basis because of a mental or physical disability that substantially limits one or more major life activities.

   d. For purposes of calculating the amount of FMLA leave an eligible Employee may request, the term "during any twelve (12) month period" means a rolling twelve (12) month period measured backward from the date the requested leave will begin.

2. Notification and Certification of Need for FMLA Leave

   a. An eligible Employee must notify the University of the need for FMLA in the manner and within the time periods stated in the FMLA.
b. Upon proper notification by the Employee, the University will provide the Employee with information regarding the Employee's rights under the FMLA. The Employee shall be required to submit certification in the manner prescribed by the FMLA to confirm that the reason meets the specifications of the FMLA.

3. Substitution (Concurrent Use of Paid Leave and FMLA Leave)

An Employee must substitute any accrued paid leave (i.e. Sick Leave and Vacation) for any unpaid FMLA leave except that a University Employee may retain up to ten (10) days of Vacation. Upon exhaustion of any accrued paid leave, the remainder of any FMLA leave will be unpaid. In no case will the combination of paid and unpaid leave used for FMLA purposes exceed the amount of leave provided under the FMLA.

4. Benefits and Return from Leave

a. An Employee may maintain current benefits during the FMLA leave as if he/she were actively at work in accordance with the FMLA. The Employee will be responsible for paying his/her Employee’s share of the premiums for such coverage.

b. Unless the Employee is separated as a result of a reduction in force or end of a contract, an Employee who takes an FMLA leave for the intended purpose of the leave shall be entitled, on timely return from the leave and completion of all required documentation, to be restored to the position of employment in accordance with the requirements of the FMLA.

C. Staff Parental Leave Benefits

This Section III.C governs the University’s Parental Leave benefit for all University Staff except for the following: it does not apply for Staff Employees of the University Hospitals and Clinics and it(see Rule R5-200B). This policy does not apply for Faculty Members (See Policy 6-315 and Policy 8-002) or Non-Faculty Academic Employees.
1. Eligibility for a **Staff** Parental Leave Benefit

   a. To be eligible for a Parental Leave benefit under this Section III.C, an Employee **Staff Member**:

      i. **Must** hold a Full-Time, Benefits-Eligible position with the University and have been continuously employed full-time by the University for at least the preceding 12 consecutive months; and

      ii. **During** the leave period, must serve as an Eligible Caregiver (as defined for this purpose) of her or his own newborn child, a partner's newborn child, or of a newly adopted child newly placed for adoption.

      iii. The child must have been born or adopted on or after January 1, 2019.

   b. Only one University **Employee** **Staff Member** is eligible for the Parental Leave benefit for a given instance of childbirth or adoption. In the event both parents are University Employees **Staff Members** eligible for the Parental Leave benefit under this Rule, either one or the other may use the Parental Leave benefit, or they may choose to divide the Parental Leave benefit between them (each taking a portion of the overall maximum benefit).

   c. The Parental Leave benefit provided under this Rule does not apply to a birth parent who does not anticipate becoming the legal parent of the child following birth. In such case, the birth parent may be covered by other sections of this Rule or other Regulations regarding sick leave and FMLA leave.

2. Notification

   a. An eligible Employee **must** complete the Parental Leave application form and submit it to their supervisor. The supervisor **shall** sign the form, acknowledging receipt of the application. The fully signed
application shall then be forwarded to the Absence Management Team in Human Resources.

b. Employees should submit the application at least 90 days before the leave is expected to begin.

3. Parental Leave Benefit

i. Upon approval of a Parental Leave application, the eligible Employee will be granted a leave of absence without being required to perform the duties of their position during that leave period, and will receive pay for:

   i. fifty percent (50%) of their regular work hours for a period of up to six (6) weeks for a Parental Leave for a child born or placed for adoption before January 1, 2023; and

   ii. fifty percent (50%) of their regular work hours for a period of up to 12 weeks or one hundred percent (100%) of their regular work hours for a period of up to six weeks for a Parental Leave for a child born or placed for adoption on or after January 1, 2023.

b. Employees must use accrued Sick and Vacation leave for regular work hours not paid as a Parental Leave benefit, before taking unpaid leave, except as allowed in subparagraph Section III.B.3.

c. The Parental Leave benefit shall begin no sooner than the date of birth (unless the Employee’s health care provider certifies that an earlier begin date is medically necessary) or the date of placement for adoption of the child. The Parental Leave benefit shall be completed no more than 12 months following the birth or placement for adoption.

4. Parental Leave and the Family Medical Leave Act (FMLA)

A Parental Leave benefit period shall run concurrently with an Employee’s FMLA leave. In no event will an Employee’s Parental Leave extend the amount of leave provided under the FMLA.
5. Right to Return and Obligation to Return

a. An Employee granted a Parental Leave benefit shall return to work at the end of the Parental Leave benefit period or FMLA leave, whichever is longer, for at least 30 days. In the event the Employee terminates employment and does not return to work for 30 days or more, the amount of the Parental Leave benefit shall be repaid and may be deducted from the Employee’s vacation payout, if any.

b. At the conclusion of the Parental Leave benefit period, the Employee will return to the same position held at the time the leave began or to an equivalent position with equivalent pay, benefits, and working conditions, provided the Employee can perform the essential functions of the position.

c. An Employee receiving a Parental Leave benefit is still subject to a reduction in force (RIF) or reassignment that would have occurred otherwise had the Employee been working.

6. Measurement/Reporting

The University will measure parental leave usage on an annual basis.

a. Human Resources will present usage data to the Executive Committee of the Academic Senate in the 2020 Spring semester.

b. The policy will be reviewed in 2021 Spring semester in the Academic Senate.

7. Appeals Process

The University will provide an appeals process in cases of hardship in which the relevant unit does not have sufficient resources to cover replacement costs for an individual on parental leave.

D. Americans with Disabilities Act (“ADA”) Reasonable Accommodation
An Employee who is a qualified individual with a disability (as defined under the ADA) and who is not eligible for leave under the FMLA or who is unable to return to work at the end of FMLA leave, may apply for a reasonable accommodation under the ADA pursuant to Policy 5-117. Additional leave, a job reassignment, or other more appropriate accommodation may be approved, unless the accommodation would be an undue hardship for the University.

E. Other Medical Leave (Leave Without Pay)

1. Under certain circumstances, the University may permit an Employee who has exhausted all accrued Sick Leave and FMLA leave or an Employee who is not eligible for Sick Leave or FMLA leave to take a medical leave without pay for the Employee's own Serious Health Condition. In general, medical leave is not available to Employees holding positions that are Temporary or to Employees who are employed in positions that are not Benefits-eligible Positions. Based on the business needs of the department and other non-discriminatory criteria, eligible Employees may be approved for a medical leave of absence under one of the following options:

a. Option A – Medical Leave with Reinstatement: An Employee who has been on leave because of his/her own Serious Health Condition, including any time credited to Sick Leave, FMLA leave or accrued Vacation, for six months or less during any twelve month period prior to the date of return to work shall be restored to the position of employment held when the leave commenced or to an equivalent position with equivalent employment benefits, pay and other terms and conditions of employment.

b. Option B – Medical Leave without Reinstatement (benefits extension only): If reinstatement is not requested or is determined not to be feasible by the Responsible Officer, the eligible Employee will be separated from his/her current position and placed on a leave of absence without reinstatement that will allow the Employee to retain health care coverage and certain University benefits for a limited period of time. In the
event an Employee approved for leave under Option A – Medical Leave with Reinstatement is unable to return to work at the end of the six-month period, the employee will continue leave under Option B – Medical Leave without Reinstatement. The University has no obligation to reinstate, or to make any effort to reinstate, an Employee who has been on leave under Option B – Medical Leave without Reinstatement. An application for reinstatement in such cases shall be treated as an application for initial employment.

2. During the first six months of medical leave, either with or without reinstatement, an Employee may continue to receive pay by using accrued Vacation, Sick, Parental Leave, and Personal Preference Leave.

F. Employee Benefits During Health-Related Leaves of Absence

1. Time-Related Benefits

   Longevity for purposes of accruing Vacation time is not lost during the preceding leaves without pay. However, Vacation and Sick Leave benefits do not accrue during unpaid leaves.

2. Retirement and Insurance Benefits

   a. While an Employee receives pay using Sick Leave, Vacation, Personal Preference, and Extended Sick Leave, retirement contributions will continue and the University will continue to contribute toward health coverage, life insurance, and disability insurance.

   b. To the extent FMLA leave is paid, retirement and benefit contributions will continue to be paid by the University on the same basis as if the Employee had continued in employment for the duration of the leave. To the extent FMLA leave is unpaid, retirement benefits will not be paid by the University during the leave. Employer contributions for other benefits will continue on the same basis as if the Employee had continued in employment for the duration of the leave, provided the Employee pays
his/her the Employee’s share of the premium and returns to work at the end of the leave (Section III.B.4, above).

c. During an unpaid medical leave either with or without reinstatement, retirement and other benefits are not paid by the University. An Employee on medical leave, however, may elect to continue his/her the Employee’s health care coverage and certain other benefits for a limited period of time by paying for such benefits.

3. Other Benefits

Other benefits, including tuition reduction, ticket discounts, and parking privileges continue during a health-related leave of absence.

Sections IV- VII are for user information and are not subject to the approval of the Academic Senate or the Board of Trustees. The Institutional Policy Committee, the Policy Owner, or the Policy Officer may update these sections at any time.

Procedures, Guidelines, Forms, and other Related Resources

A. Procedure 5-200A: Procedures for Leaves of Absence (Health-Related) – University Employees (Other than UUHC Staff)

References

A. Policy 5-001: Employee Definitions

B. Policy 5-117: ADA Policy, Reasonable Accommodation and Access

C. Rule 5-200B: Paid Time Off for University of Utah Hospitals and Clinics Staff Members

D. Policy 5-201: Leaves of Absence (Non Health-Related)

E. Policy 5-301: Vacation Policy

F. Policy 5-303: University Insurance Programs

G. Rule 6-309A: Postdoctoral Fellows Parental Leave
H. Policy 6-315: Faculty Parental Benefits -- Leaves of Absence with Modified Duties and Review Extensions

I. Policy 8-002: School of Medicine (SOM) Parental Leaves of Absence

J. 29 Code of Federal Regulations 825.100 et seq., Family and Medical Leave Act Regulations, as amended

Contacts

A. Policy Owner (primary contact person for questions and advice): the University’s Director of Benefits is responsible for the application of this Policy to all University Employees other than University of Utah Hospitals and Clinics Employees.

B. Policy Officer: the University’s Chief Human Resources Officer (non-UUHC Employees). Only the Chief Human Resources Officer or his/her designee has the authority to grant exceptions to this Policy.

See Rule 1-001 for information about the roles and authority of policy owners and policy officers.

History

A. Current Version: Revision 3.

1. Approved by Chief Human Resources Officer with effective date of November 8, 2022

2. Legislative History

3. Editorial Revisions

B. Previous versions:

1. Revision 2: Effective October 1, 2018

B. Earlier versions:

2. 1. Revision 1. Effective December 6, 2016
3. Revision 0. Effective December 13, 2011.