



MEMORANDUM

Date: March 1, 2021

To: Ruth V. Watkins, President

From: Andrew S. Weyrich, Vice President for Research
Marc D. Rinehart, Director, Conflict of Interest Office
Hailey A. Ritchie, Associate General Counsel

Copies: Jeff C. Herring, Chair, Institutional Policy Committee
Randy L. Dryer, President, Academic Senate

Subject: Interim Policy 1-006: Individual Financial Conflict of Interest Policy; Interim Rule 1-006C: Individual Financial Conflict of Interest in Research; and Guideline G1-006A: Determining “Relatedness” to Research

Attached please find Interim Policy 1-006: Individual Financial Conflict of Interest Policy; Interim Rule 1-006C: Individual Financial Conflict of Interest in Research; and Guideline G1-006A: Determining “Relatedness” to Research. We recommend that you approve and promulgate the interim policy, interim rule, and guideline effective February 25, 2021.

The interim policy, interim rule, and guideline have been developed with the advice and collaboration of the Conflict of Interest Office, Conflict of Interest Committee, Office of General Counsel, and Academic Senate Executive Committee. Imminent implementation of the interim policy, interim rule, and guideline is required for compliance with federal regulations.

We recommend that the Institutional Policy Committee post the interim policy, interim rule, and guideline to the University Regulations website.

Although it is necessary to promulgate the policy, rule, and guideline immediately on an interim basis, we recommend that the interim regulations be reviewed and promulgated, with any changes, through the normal process within one year.

Approved this 7 day of March, 2021

Ruth V. Watkins, President