

POLICY 8-5 REV 13

DATE SEPTEMBER 17, 1999

SUBJECT: **UNIVERSITY REGULATIONS - Chapter V  
FACULTIES, COMMITTEES AND COUNCILS**

**SECTION 1. COMPOSITION AND AUTHORITY OF THE UNIVERSITY FACULTY**

THE UNIVERSITY FACULTY SHALL CONSIST OF THE UNIVERSITY PRESIDENT, VICE PRESIDENTS, DEANS, DIRECTORS OF LIBRARIES, PROFESSORS (INCLUDING DISTINGUISHED PRESIDENTIAL AND UNIVERSITY PROFESSORS), ASSOCIATE PROFESSORS, ASSISTANT PROFESSORS, INSTRUCTORS, LIBRARIANS, ASSOCIATE LIBRARIANS, ASSISTANT LIBRARIANS, AND AFFILIATE LIBRARIANS. ALL SHALL HAVE THE FULL RIGHTS OF FACULTY MEMBERS EXCEPT THAT PERSONS HOLDING ADJUNCT, CLINICAL, RESEARCH, VISITING, OR EMERITUS APPOINTMENTS SHALL NOT HAVE THE RIGHT TO VOTE. AT THE DISCRETION OF THE UNIVERSITY PRESIDENT, ACADEMIC PERSONNEL HOLDING NON-FACULTY APPOINTMENTS MAY BE AFFORDED FACULTY PRIVILEGES OTHER THAN THE RIGHT TO VOTE.

THE UNIVERSITY FACULTY SHALL HAVE AUTHORITY, SUBJECT TO THE APPROVAL OF THE BOARD OF TRUSTEES, TO LEGISLATE ON MATTERS OF EDUCATIONAL POLICY, TO ENACT SUCH RULES AND REGULATIONS AS IT MAY DEEM DESIRABLE TO PROMOTE OR ENFORCE SUCH POLICIES, AND TO DECIDE UPON CURRICULA AND NEW COURSES OF STUDY INVOLVING RELATIONS BETWEEN SCHOOLS AND COLLEGES OR DEPARTMENTS. THE FACULTY HAS A RIGHT TO A MEANINGFUL ROLE IN THE GOVERNANCE OF THE UNIVERSITY INCLUDING PRIMARY RESPONSIBILITY FOR COURSE CONTENT AND MATERIALS, DEGREE REQUIREMENTS AND CURRICULUM; IT HAS A RIGHT TO PARTICIPATE IN DECISIONS RELATING TO THE GENERAL ACADEMIC OPERATIONS OF THE UNIVERSITY INCLUDING BUDGET DECISIONS AND ADMINISTRATIVE APPOINTMENTS. SUBSTANTIAL ALTERATIONS IN EXISTING OPERATIONS, I.E., ESTABLISHMENT OF A BRANCH, EXTENSION CENTER, COLLEGE, PROFESSIONAL SCHOOL, DIVISION, INSTITUTE, DEPARTMENT OR ANY NEW PROGRAM IN INSTRUCTION, RESEARCH, OR PUBLIC SERVICES, OR ANY NEW DEGREE, DIPLOMA, OR CERTIFICATE, SHALL NOT BE MADE WITHOUT PRIOR APPROVAL OF THE STATE BOARD OF REGENTS. UTAH CODE ANN. 53B-16-102(4) (1994). THE LEGISLATIVE POWER WILL NORMALLY BE EXERCISED BY THE FACULTY THROUGH THEIR REPRESENTATIVES IN THE ACADEMIC SENATE AND THE COLLEGE OR AREA COUNCILS, EXCEPT THAT THE VOTING MEMBERS OF THE UNIVERSITY FACULTY SHALL HAVE THE APPELLATE POWER TO REVIEW ALL ACTIONS AFFECTING EDUCATIONAL POLICY.

**SECTION 2. COMPOSITION AND AUTHORITY OF THE ACADEMIC SENATE**

THERE SHALL BE AN ACADEMIC SENATE CONSTITUTED AS PROVIDED IN THE FACULTY REGULATIONS.

THE ACADEMIC SENATE SHALL HAVE THE POWER TO ACT FOR THE UNIVERSITY FACULTY IN ALL MATTERS OF EDUCATIONAL POLICY, INCLUDING REQUIREMENTS FOR ADMISSIONS, DEGREES, DIPLOMAS, CERTIFICATES, AND CURRICULAR MATTERS INVOLVING RELATIONS BETWEEN SCHOOLS AND COLLEGES OR DEPARTMENTS. WITHIN THIS PROVINCE THE ACTION OF THE SENATE SHALL BE EFFECTIVE WITHOUT APPROVAL, SUBJECT TO THE APPELLATE POWER OF THE UNIVERSITY FACULTY. MATTERS OF EDUCATIONAL POLICY COMING BEFORE THE

SENATE FOR ACTION SHOULD, AS A MATTER OF COURSE, BE EVALUATED AS TO ANY ADDITIONAL EXPENSES INVOLVED (E.G., LIBRARY SUPPORT COSTS FOR NEW PROGRAMS) AND PROPOSED SOURCES OF REVENUE.

THE ACADEMIC SENATE SHALL ALSO HAVE THE FOLLOWING POWERS:

- (1) TO RECEIVE AND CONSIDER REPORTS FROM ALL FACULTY COMMITTEES, COUNCILS, DEPARTMENTS, DIVISIONS, ADMINISTRATIVE OFFICERS, SCHOOLS, COLLEGES, FACULTIES AND LIBRARIES AND TO TAKE APPROPRIATE ACTION THEREON WITHIN THE SCOPE OF HIS AUTHORITY;
- (2) TO CONSIDER MATTERS OF PROFESSIONAL INTEREST AND FACULTY WELFARE AND MAKE RECOMMENDATIONS TO THE UNIVERSITY PRESIDENT AND OTHER ADMINISTRATIVE OFFICERS CONCERNED;
- (3) TO PROPOSE TO THE BOARD OF TRUSTEES AMENDMENTS OR ADDITIONS TO THE UNIVERSITY REGULATIONS FOR THE GOVERNMENT OF THE UNIVERSITY.

THE UNIVERSITY PRESIDENT SHALL INFORM THE ACADEMIC SENATE OF PROPOSED APPOINTMENTS AND PROMOTIONS OF FACULTY MEMBERS AND SHALL RECOMMEND THESE APPOINTMENTS AND PROMOTIONS TO THE BOARD OF TRUSTEES AT ITS NEXT MEETING UNLESS THERE IS OBJECTION TO ANY OF THESE RECOMMENDATIONS BY A MAJORITY OF THE SENATE QUORUM. OBJECTIONS SHALL BE REFERRED TO THE EXECUTIVE COMMITTEE OF THE SENATE FOR INVESTIGATION AND THE REPORT OF THE EXECUTIVE COMMITTEE SHALL BE TRANSMITTED BY THE UNIVERSITY PRESIDENT TO THE BOARD OF TRUSTEES.

THE UNIVERSITY PRESIDENT SHALL ALSO INFORM THE ACADEMIC SENATE OF RESIGNATIONS, WHETHER ROUTINE OR UNDER PROTEST, AND OF PROPOSED REMOVALS AND DISMISSALS. ANY FACULTY MEMBER SHALL HAVE THE RIGHT TO REQUEST THE REVIEW OF ANY RESIGNATION OR PROPOSED DISMISSAL. EACH REQUEST FOR SUCH A REVIEW MUST BE REFERRED TO THE ACADEMIC FREEDOM AND TENURE COMMITTEE WHICH SHALL MAKE A REVIEW OF THE CASE AND TRANSMIT ITS FINDINGS TO THE ACADEMIC SENATE. MANDATORY REFERRAL TO THE ACADEMIC FREEDOM AND TENURE COMMITTEE OF PROTESTED RESIGNATIONS, DISMISSALS, AND REMOVALS OF FACULTY MEMBERS IS APPLICABLE TO FACULTY WITH OR WITHOUT TENURE OR CONTINUING APPOINTMENT, WITH THE EXCEPTION THAT IT DOES NOT INCLUDE THOSE WHO HAVE AT THE TIME OF HIRING BEEN EXPRESSLY HIRED FOR A LIMITED PERIOD OF TIME. UPON THE BASIS OF THE FINDINGS OF THE ACADEMIC FREEDOM AND TENURE COMMITTEE, THE SENATE SHALL THEN APPROVE OR DISAPPROVE THE PROTESTED RESIGNATION, REMOVAL, OR DISMISSAL BY ROLL CALL VOTE. MINUTES SHALL BE KEPT OF ALL PROCEEDINGS OF THE ACADEMIC FREEDOM AND TENURE COMMITTEE AND OF THE SENATE PERTAINING TO PROTESTED RESIGNATIONS, REMOVALS, AND DISMISSALS. COPIES OF THESE MINUTES, INCLUDING THE ROLL CALL VOTE OF ANY SENATE ACTION TAKEN, SHALL BE AVAILABLE TO THE BOARD OF TRUSTEES. THE BOARD OF TRUSTEES WILL NOT ACT ON ANY APPEAL UNLESS AND UNTIL THE APPEAL HAS FIRST BEEN REFERRED TO THE ACADEMIC FREEDOM AND TENURE COMMITTEE AND THAT COMMITTEE HAS MADE A REPORT OF ITS FINDINGS TO THE ACADEMIC SENATE.

THE UNIVERSITY PRESIDENT MAY REFER TO THE SENATE ANY MATTER UPON WHICH THE UNIVERSITY PRESIDENT FEELS IT WOULD BE USEFUL TO HAVE THE ADVICE OF THAT BODY. WHEN SUCH MATTERS

PERTAIN TO ACADEMIC FREEDOM AND TENURE, THE SENATE SHALL REFER THEM TO THE ACADEMIC FREEDOM AND TENURE COMMITTEE FOR STUDY AND REPORT BACK TO THE SENATE AND UNIVERSITY PRESIDENT. THE UNIVERSITY PRESIDENT MAY ALSO APPOINT AN AD HOC COMMITTEE OF FACULTY MEMBERS AND OTHERS WHEN APPROPRIATE TO ADVISE THE UNIVERSITY PRESIDENT WHEN A QUESTION ARISES CONCERNING THE COMPETENCE OR CONDUCT OF A STAFF OR FACULTY MEMBER IN A GIVEN DEPARTMENT.

### SECTION 3. COMPOSITION AND AUTHORITY OF UNIVERSITY COMMITTEES

THE ACADEMIC SENATE SHALL ESTABLISH AN EXECUTIVE COMMITTEE TO INCLUDE THE FOLLOWING VOTING MEMBERS: TEN FACULTY MEMBERS ELECTED ANNUALLY FROM THE SENATE'S ELECTED MEMBERSHIP TO SERVE ONE YEAR, THE ASUU PRESIDENT, A GRADUATE AND AN UNDERGRADUATE REPRESENTATIVE FROM THE STUDENT ACADEMIC SENATORS, ONE OF WHOM SHALL BE THE STUDENT SENATE CHAIR AND THE OTHER OF WHOM SHALL BE SELECTED BY THE STUDENT SENATE; AND THE PRESIDENT OF THE ACADEMIC SENATE. THE PRESIDENT OF THE ACADEMIC SENATE SHALL CHAIR THE COMMITTEE BUT SHALL VOTE ONLY WHEN NECESSARY TO PREVENT AN EQUAL DIVISION OF THE COMMITTEE. THE EX OFFICIO, NON-VOTING MEMBERS WILL INCLUDE THE UNIVERSITY PRESIDENT, THE VICE PRESIDENT FOR ACADEMIC AFFAIRS AND THE VICE PRESIDENT FOR HEALTH SCIENCES OR THEIR DESIGNEES, THE PRESIDENT-ELECT OF THE ACADEMIC SENATE, AND THE PAST-PRESIDENT OF THE ACADEMIC SENATE.

THE EXECUTIVE COMMITTEE SHALL SCREEN AND REVIEW MATTERS FOR THE ACADEMIC SENATE AND PERFORM OTHER FUNCTIONS AS ASSIGNED TO IT BY FACULTY REGULATIONS OR BY ACTION OF THE ACADEMIC SENATE. (SEE FACULTY REGULATIONS, CHAP. III.)

THE EXECUTIVE COMMITTEE IS EMPOWERED TO RECEIVE CONFIDENTIAL COMMITTEE REPORTS INDICATING A SERIOUS CONCERN ABOUT THE SYSTEMIC OPERATION OF A PROGRAM, DEPARTMENT OR COLLEGE, AND TO REQUEST FURTHER SUPPORTING INFORMATION FROM ANY COMMITTEE SO EMPOWERED TO REPORT. IF THE EXECUTIVE COMMITTEE CONCLUDES THAT THERE IS A SERIOUS CONCERN ABOUT THE SYSTEMIC OPERATION OF A PROGRAM, DEPARTMENT OR COLLEGE, IT SHALL BRING THIS TO THE ATTENTION OF THE COGNIZANT VICE PRESIDENT AND PROVIDE SUPPORTING INFORMATION. THE COGNIZANT VICE PRESIDENT SHALL ACKNOWLEDGE RECEIVING THE REPORT AND IN A TIMELY FASHION INFORM THE EXECUTIVE COMMITTEE AND THE ORIGINATING COMMITTEE WHAT STEPS ARE BEING TAKEN TO INVESTIGATE OR RESOLVE THE CONCERNS AND, SUBSEQUENTLY, INFORM BOTH COMMITTEES OF THE OUTCOME OF THE INVESTIGATION AND OF ANY RESOLUTION ACHIEVED.

THE ACADEMIC SENATE SHALL ELECT ANNUALLY MEMBERS OF THE FOLLOWING COMMITTEES FROM FACULTY MEMBERS OTHER THAN EX OFFICIO MEMBERS OF THE SENATE AND THE EXECUTIVE COMMITTEE OF THE SENATE: ACADEMIC POLICY ADVISORY COMMITTEE, ANNUITIES AND SALARIES COMMITTEE, FACULTY BUDGET AND PLANNING ADVISORY COMMITTEE, FACULTY HEARING COMMITTEE, LIBRARY POLICY ADVISORY COMMITTEE, PERSONNEL AND ELECTIONS COMMITTEE, UNIVERSITY RPT STANDARDS AND APPEALS COMMITTEE, AND SUCH OTHER COMMITTEES DETERMINED BY THE SENATE TO BE NEEDED TO SERVE THE INTERESTS OF THE UNIVERSITY.

VACANCIES IN FACULTY POSITIONS ON ELECTED COMMITTEES SHALL BE FILLED EITHER BY THE RUNNER-UP FROM THE ORIGINAL ELECTIONS OR BY SPECIAL ELECTION BY THE SENATE. (SEE FACULTY REGULATIONS, CHAPTER III.)

THE UNIVERSITY PRESIDENT SHALL APPOINT, BEFORE THE OPENING OF THE ACADEMIC YEAR, SUCH STANDING COMMITTEES AS THE WORK OF THE UNIVERSITY MAY REQUIRE. SPECIAL COMMITTEES MAY BE APPOINTED AT ANY TIME AS THE UNIVERSITY PRESIDENT MAY DEEM WISE, WHICH SHALL REPORT TO THE FACULTY, OR TO THE APPROPRIATE COUNCIL, OR TO THE UNIVERSITY PRESIDENT THE PROGRESS OF THEIR WORK AND THEIR RECOMMENDATIONS.

THE UNIVERSITY PRESIDENT, ADMINISTRATION OR ACADEMIC SENATE WILL NOTIFY THE PERSONNEL AND ELECTIONS COMMITTEE ABOUT THE CREATION OF ANY UNIVERSITY-WIDE STANDING COMMITTEES. UPON THE CREATION OF A UNIVERSITY-WIDE STANDING COMMITTEE, THE PERSONNEL AND ELECTIONS COMMITTEE WILL REVIEW THE COMMITTEE CHARTER AND MEMBERSHIP REQUIREMENTS, AND SHALL MAKE ANY REQUESTED NOMINATIONS.

COMMITTEES OF THE FACULTY AND OF THE ACADEMIC SENATE SHALL ACT ONLY WITHIN THE LIMITS SET FOR THEM.

#### SECTION 4. COMPOSITION AND AUTHORITY OF SCHOOL AND COLLEGE FACULTIES

A SCHOOL OR COLLEGE FACULTY SHALL CONSIST OF THE DEAN OF THE SCHOOL OR COLLEGE AND SUCH MEMBERS OF THE STAFFS OF DEPARTMENTS PURSUING RESEARCH OR OFFERING A MAJOR, A TEACHING MAJOR, OR PRESCRIBED WORK IN THE SCHOOL OR COLLEGE AS ARE ELIGIBLE TO MEMBERSHIP IN THE UNIVERSITY FACULTY. IF A DEPARTMENT SERVES MORE THAN ONE SCHOOL OR COLLEGE, THE DEPARTMENT CHAIRPERSON MAY DESIGNATE A STAFF MEMBER TO REPRESENT THE DEPARTMENT AT MEETINGS OF THE FACULTIES OF SCHOOLS OR COLLEGES OTHER THAN THAT TO WHICH THE DEPARTMENT IS ASSIGNED FOR ADMINISTRATIVE PURPOSES WITHOUT ABROGATING THE RIGHT OF OTHER MEMBERS OF THE DEPARTMENT TO PARTICIPATE AND VOTE IN THE MEETINGS OF SUCH FACULTIES. THE PRESIDENT SHALL BE AN EX OFFICIO MEMBER OF ALL SCHOOL AND COLLEGE FACULTIES.

COLLEGE OR AREA COUNCILS SHALL BE CREATED BY THE COLLEGES OF THE UNIVERSITY WITH COMPOSITIONS DEFINED BY THE COLLEGES INVOLVED, SUBJECT TO THE APPROVAL OF THE EXECUTIVE COMMITTEE. COLLEGE OR AREA COUNCILS MAY INCLUDE COUNCILS SERVING MORE THAN ONE COLLEGE WHERE APPROPRIATE. SUCH COUNCILS SHALL HAVE DECISION-MAKING AUTHORITY, AS STATED IN FACULTY REGULATIONS, SUBJECT TO REVIEW BY THE EXECUTIVE COMMITTEE OF THE ACADEMIC SENATE AND SUBJECT TO THE POWER OF THE ACADEMIC SENATE TO LEGISLATE ON MATTERS OF GENERAL UNIVERSITY CONCERN.

#### SECTION 5. COMPOSITION AND AUTHORITY OF GRADUATE COUNCIL

THE GRADUATE COUNCIL SUPERVISES GRADUATE STUDY AT THE UNIVERSITY OF UTAH. IT IS RESPONSIBLE FOR THE REVIEW AND EVALUATION OF ALL EXISTING DEPARTMENTS AND PROGRAMS THAT AWARD GRADUATE AND UNDERGRADUATE DEGREES AND CERTIFICATES. THE UNDERGRADUATE COUNCIL PARTICIPATES WITH THE GRADUATE COUNCIL IN THE REVIEW OF UNDERGRADUATE PROGRAMS BASED IN DEPARTMENTS AWARDED GRADUATE DEGREES. THE GRADUATE COUNCIL ALSO REVIEWS AND EVALUATES PROPOSALS FOR

NEW GRADUATE DEGREES AND CERTIFICATES; ACADEMIC ADMINISTRATIVE UNITS (E.G., DEPARTMENTS, DIVISIONS); CENTERS, INSTITUTES AND BUREAUS AND PROPOSALS FOR NAME CHANGES OR MAJOR REVISIONS OF THE PRECEDING. IT ASSUMES OTHER RESPONSIBILITIES AS ESTABLISHED BY UNIVERSITY OR BOARD OF REGENTS POLICY. THE ADMINISTRATION OF PROFESSIONAL DEGREES MAY BE DELEGATED BY THE GRADUATE COUNCIL TO COLLEGES, SCHOOLS, OR DEPARTMENTS.

THE GRADUATE COUNCIL MEMBERS SHALL BE APPOINTED BY THE UNIVERSITY PRESIDENT ON RECOMMENDATION OF THE DEAN OF THE GRADUATE SCHOOL. NOMINATIONS OF FACULTY MEMBERS WILL BE MADE BY COLLEGE COUNCILS FOR COMMUNICATION BY THE COLLEGE DEAN TO THE DEAN OF THE GRADUATE SCHOOL. NOMINATIONS OF STUDENT MEMBERS WILL BE MADE BY ASUU FOR COMMUNICATION BY THE ASUU PRESIDENT TO THE DEAN OF THE GRADUATE SCHOOL. FACULTY MEMBERS ON THE COUNCIL SHALL REPRESENT ALL SCHOOLS AND COLLEGES OFFERING DEGREES UNDER THE JURISDICTION OF THE GRADUATE COUNCIL. STUDENT MEMBERSHIP SHALL BE LIMITED TO THREE MEMBERS, TWO GRADUATE AND ONE UNDERGRADUATE, BROADLY REPRESENTATIVE OF THE SCHOOLS AND COLLEGES OF THE UNIVERSITY.

THE GRADUATE COUNCIL SHALL ESTABLISH POLICIES AND PROCEDURES FOR THE GRADUATE SCHOOL, SUCH POLICIES AND PROCEDURES BEING SUBJECT TO REVIEW BY THE ACADEMIC SENATE AS SPECIFIED IN FACULTY REGULATIONS.

## SECTION 6. UNDERGRADUATE COUNCIL

THE UNDERGRADUATE COUNCIL CONSISTS OF ONE ELECTED FACULTY REPRESENTATIVE FROM EACH COLLEGE OFFERING UNDERGRADUATE DEGREES AND MAKING A SIGNIFICANT CONTRIBUTION TO UNDERGRADUATE EDUCATION ACROSS THE CAMPUS (ARCHITECTURE, BUSINESS, EDUCATION, ENGINEERING, FINE ARTS, HEALTH, HUMANITIES, MINES & EARTH SCIENCE, NURSING, PHARMACY, SCIENCE, AND SOCIAL AND BEHAVIORAL SCIENCE), A SECOND ELECTED REPRESENTATIVE FROM THREE COLLEGES (HUMANITIES, SCIENCE, AND SOCIAL AND BEHAVIORAL SCIENCE), ONE ELECTED REPRESENTATIVE FROM THE UNIVERSITY LIBRARIES, AND AN APPOINTED REPRESENTATIVE OF INTERDISCIPLINARY PROGRAMS AND THREE UNDERGRADUATE STUDENTS EACH REPRESENTING A DIFFERENT COLLEGE AND RECOMMENDED BY ASUU, TWO OF WHICH SHALL COME FROM THE STUDENT SENATE. ELECTED MEMBERS OF THE UNDERGRADUATE COUNCIL SHALL SERVE FOR THREE-YEAR TERMS.<sup>(1)</sup> EX-OFFICIO NON-VOTING MEMBERS SHALL COME FROM: ENROLLMENT MANAGEMENT (STUDENT AFFAIRS), THE DIVISION OF CONTINUING EDUCATION, ACADEMIC ADVISING, HONORS, THE GRADUATE SCHOOL, UNIVERSITY PROFESSOR(S), AND ADMINISTRATORS IN UNDERGRADUATE STUDIES; OTHER EX OFFICIO NON-VOTING MEMBERS MAY BE ADDED AS DEEMED NECESSARY BY THE ASSOCIATE ACADEMIC VICE PRESIDENT FOR UNDERGRADUATE STUDIES. THE ASSOCIATE ACADEMIC VICE PRESIDENT FOR UNDERGRADUATE STUDIES OR A PERSON SO DESIGNATED BY THAT OFFICE SHALL CHAIR THE COUNCIL. THE COUNCIL SHALL REPORT DIRECTLY TO THE OFFICE OF THE VICE PRESIDENT FOR ACADEMIC AFFAIRS THROUGH THE ASSOCIATE ACADEMIC VICE PRESIDENT FOR ACADEMIC AFFAIRS, AND THE ACADEMIC POLICIES AND PROCEDURES RECOMMENDED BY THE COUNCIL SHALL BE SUBJECT TO APPROVAL THROUGH THE REGULAR GOVERNANCE PROCESS.

THE UNDERGRADUATE COUNCIL IS CHARGED WITH THE RESPONSIBILITY: (1) TO COORDINATE AND ENCOURAGE THE DEVELOPMENT OF UNDERGRADUATE STUDIES ACROSS THE UNIVERSITY AND (2) TO OVERSEE ALL UNIVERSITY-WIDE UNDERGRADUATE REQUIREMENTS. THE ASSOCIATE ACADEMIC VICE PRESIDENT FOR UNDERGRADUATE STUDIES AND COUNCIL SHALL HAVE THE RESPONSIBILITY OF ESTABLISHING AND MAINTAINING A PROGRAM OF GENERAL/LIBERAL EDUCATION AND OF OTHER GENERAL REQUIREMENTS IN

COOPERATION WITH THE ACADEMIC DEPARTMENTS AND COLLEGES. IT SHALL BE THE RESPONSIBILITY OF THE ASSOCIATE ACADEMIC VICE PRESIDENT FOR UNDERGRADUATE STUDIES TO INSURE QUALITY IN THE INSTRUCTION AND IN THE CONTENT OF THE COURSES MEETING GENERAL/LIBERAL EDUCATION AND OTHER REQUIREMENTS THROUGH PERIODIC REVIEW OF TEACHERS AND OF CURRICULUM. ALL STUDENTS WILL UNDERTAKE WORK IN ALL OF THE FOUR AREAS OF LIBERAL EDUCATION -- PHYSICAL AND LIFE SCIENCE, SOCIAL SCIENCE, HUMANITIES, AND FINE ARTS. TO FACILITATE PROPOSALS FROM THE AREAS, THE ASSOCIATE ACADEMIC VICE PRESIDENT FOR UNDERGRADUATE STUDIES WILL APPOINT REPRESENTATIVE AREA COMMITTEES. COMMITTEES ESTABLISHING POLICY AND REVIEWING COURSE PROPOSALS FOR OTHER GENERAL UNDERGRADUATE REQUIREMENTS (E.G., DIVERSITY, WRITING) WILL REPORT TO THE UNDERGRADUATE COUNCIL FOR APPROVAL. THE COUNCIL IS RESPONSIBLE FOR REVIEWING AND EVALUATING PROPOSALS FOR NEW UNDERGRADUATE PROGRAMS AS WELL AS PROPOSED DELETIONS OR SIGNIFICANT REVISIONS OF UNDERGRADUATE PROGRAMS AND DEGREES BY COLLEGES AND DEPARTMENTS. IT ASSUMES OTHER RESPONSIBILITIES AS ESTABLISHED BY UNIVERSITY OR BOARD OF REGENTS POLICY. IN ADDITION, THE COUNCIL IS RESPONSIBLE FOR REVIEWING AND EVALUATING ALL UNDERGRADUATE DEGREES AND PROGRAMS THAT ARE NOT LOCATED IN DEPARTMENTS WITH GRADUATE DEGREES. THE UNDERGRADUATE COUNCIL ALSO PARTICIPATES WITH THE GRADUATE COUNCIL IN THE REVIEW AND EVALUATION OF UNDERGRADUATE PROGRAMS BASED IN DEPARTMENTS AWARDING GRADUATE DEGREES (SEE [POLICY AND PROCEDURES No. 8-5](#), SECTION 5; AND [POLICY AND PROCEDURES No. 9-9](#), SECTION 1).

## SECTION 7. UNIVERSITY OF UTAH LIBRARIES - POLICY STATEMENT FOR THEIR GOVERNMENT AND ADMINISTRATION

### A. ADMINISTRATIVE UNITS IN THE UNIVERSITY LIBRARY SYSTEM

MARRIOTT LIBRARY AND ITS BRANCHES ARE OPERATED UNDER THE ADMINISTRATIVE JURISDICTION OF THE DIRECTOR OF LIBRARIES. THE LAW AND HEALTH SCIENCES LIBRARIES ARE AUTONOMOUS ADMINISTRATIVE UNITS, ARE LOCATED ON THE SITES OF THEIR RESPECTIVE SCHOOLS AND HAVE THEIR OWN DIRECTORS, WHO SHALL COOPERATE CLOSELY WITH THEIR FACULTIES IN THE DEVELOPMENT AND MAINTENANCE OF THEIR COLLECTIONS AND MAKE THESE AVAILABLE TO RESEARCHERS IN RELATED FIELDS. THE FOLLOWING REGULATIONS PERTAIN ESSENTIALLY TO THE OPERATIONS OF THE MARRIOTT LIBRARY.

### B. THE MARRIOTT LIBRARY COLLECTIONS

THE UNIVERSITY'S COLLECTIONS ARE TO BE PRIMARILY HOUSED IN ITS CENTRAL LIBRARY (THE MARRIOTT LIBRARY). DEPARTMENTS ARE ENJOINED TO KEEP THEIR OWN COLLECTIONS TO IMMEDIATE RESEARCH NEEDS AND OTHERWISE CHANNEL REQUESTS FOR NEW ACQUISITIONS TO MARRIOTT LIBRARY, IN ORDER TO AVOID COSTLY DUPLICATIONS AND MAKE THESE MATERIALS AVAILABLE TO A WIDER AUDIENCE. THE UNIVERSITY ADMINISTRATION SHOULD ANNUALLY MONITOR DEPARTMENTAL EXPENDITURES FOR LIBRARY MATERIALS.

### C. "AREA COLLECTIONS" IN MARRIOTT LIBRARY

"AREA COLLECTIONS" INVOLVING ACADEMIC UNITS OTHER THAN LAW AND MEDICINE MAY BE ELIGIBLE FOR SPECIAL ARRANGEMENTS IN THE MARRIOTT LIBRARY, IF DEEMED ADVANTAGEOUS TO THE USERS AND STILL CONDUCIVE TO ADMINISTRATIVE AND FISCAL EFFECTIVENESS. THE LIBRARY ADMINISTRATION AND STAFF SHALL WORK CLOSELY WITH THE LIBRARY POLICY ADVISORY COMMITTEE, THE FACULTY CONCERNED, AS WELL AS STUDENT REPRESENTATIVES TO EXAMINE AND DETERMINE SUCH NEEDS.

#### D. DEPARTMENTAL OR BRANCH LIBRARIES

A DEPARTMENTAL OR BRANCH LIBRARY MAY BE ESTABLISHED AND MAINTAINED WITH THE APPROVAL OF THE VICE PRESIDENT FOR ACADEMIC AFFAIRS AND THE PRESIDENT. ANY REQUEST FOR SUCH APPROVAL MUST BE ACCOMPANIED BY AN ASSESSMENT FROM THE DIRECTOR OF LIBRARIES AND THE LIBRARY POLICY ADVISORY COMMITTEE. SPACE ASSIGNMENTS SHALL BE MADE IN CONSULTATION WITH THE LIBRARY ADMINISTRATION. ANY OFFICIALLY APPROVED NEW LIBRARY SHALL BE OPERATED AS A PART OF THE CENTRALLY ADMINISTERED LIBRARY SYSTEM. ALL FUNDS THAT MAY BE PROVIDED FOR DEVELOPMENT OF LIBRARY COLLECTIONS, FOR EQUIPPING, OR FOR STAFFING LIBRARIES IN THE UNIVERSITY SHALL BE ADMINISTERED THROUGH THE VARIOUS ACCOUNTS COMPRISING THE OVERALL UNIVERSITY LIBRARY BUDGETS.

ANY REQUEST FOR THE ESTABLISHMENT OF A CENTRALLY ADMINISTERED DEPARTMENTAL OR BRANCH LIBRARY SHALL BE SUPPORTED BY DOCUMENTATION SHOWING THAT ADDITIONAL FUNDING FROM SPECIAL SOURCES WILL BE FORTHCOMING, TO MAKE SURE THAT THE FINANCIAL RESOURCES FOR MAINTAINING THE RESEARCH COLLECTIONS IN THE MARRIOTT LIBRARY CAN BE KEPT ON A SUFFICIENT LEVEL.

AN APPROVED DEPARTMENTAL OR BRANCH LIBRARY MUST BE MANAGED BY A PROFESSIONALLY TRAINED LIBRARIAN WITH ADEQUATE SUPPORT STAFF.

UNIVERSITY LIBRARY PATRONS SHOULD HAVE ACCESS TO THE USE AND CIRCULATION OF BRANCH LIBRARY MATERIALS REGARDLESS OF DEPARTMENTAL AFFILIATION ACCORDING TO POLICIES DEVELOPED BY THE LIBRARY ADMINISTRATION AND APPROVED BY THE LIBRARY POLICY ADVISORY COMMITTEE.

#### E. LIBRARY POLICY ADVISORY COMMITTEE (LPAC)

A STANDING LIBRARY POLICY ADVISORY COMMITTEE (LPAC) SHALL BE APPOINTED IN ACCORDANCE WITH THE PROCEDURES GOVERNING OTHER STANDING COMMITTEES OF THE UNIVERSITY. ITS MEMBERS SHALL INCLUDE A REPRESENTATIVE FROM EACH ACADEMIC COLLEGE AND SHALL INCLUDE STUDY BODY REPRESENTATIVES. THE PRINCIPAL FUNCTION OF THIS COMMITTEE SHALL BE TO ADVISE THE DIRECTORS OF THE MARRIOTT LIBRARY, THE ECCLES HEALTH SCIENCES LIBRARY, AND THE S.J. QUINNEY LAW LIBRARY ON MATTERS OF OPERATIONAL POLICIES, THE DEVELOPMENT OF EXISTING HOLDINGS, AND THE EXPANSION OF EXISTING FACILITIES FROM A BROAD, UNIVERSITY-WIDE PERSPECTIVE. IT SHALL ALSO BRING

BEFORE THE ACADEMIC SENATE MATTERS AFFECTING LIBRARY NEEDS, POLICY AND ADMINISTRATION THAT IT DEEMS APPROPRIATE FOR CONSIDERATION BY THAT BODY. THE DIRECTORS OF EACH OF THE THREE UNIVERSITY LIBRARIES SHALL BE EX OFFICIO, NON-VOTING MEMBERS OF LPAC.

#### F. THE DIRECTOR OF LIBRARIES

THE DIRECTOR OF LIBRARIES IS AN ACADEMIC OFFICER WITH THE RANK OF "LIBRARIAN" AND WITH THE ADMINISTRATIVE STATUS OF A DEAN. HE/SHE IS AN EX OFFICIO MEMBER OF THE ACADEMIC SENATE. IN ACCORDANCE WITH ESTABLISHED UNIVERSITY PROCEDURES RELATING TO THE ADMINISTRATIVE TENURE OF DEANS, HE/SHE SHALL BE REVIEWED AT CERTAIN INTERVALS BY THE UNIVERSITY ADMINISTRATION IN CONSULTATION WITH THE STAFF OF THE CENTRAL LIBRARY.

#### G. DUTIES OF THE DIRECTOR

AMONG SUCH TASKS AS MAY BE REQUIRED TO FULFILL THE RESPONSIBILITIES OF HIS/HER OFFICE, THE DIRECTOR SHALL:

1. ADMINISTER ALL FUNDS PROVIDED FROM ANY SOURCE FOR LIBRARY ACQUISITIONS, BINDING, PERSONNEL, SUPPLIES, AND EQUIPMENT.
2. DETERMINE IN CONSULTATION WITH THE LIBRARY STAFF AND WITH THE ADVICE OF THE LPAC AND THE ACADEMIC UNITS CONCERNED HOW THE VARIOUS COLLECTIONS SHALL BE ARRANGED, CATALOGUED AND STAFFED.
3. PRESENT TO THE UNIVERSITY ADMINISTRATION THE NEEDS OF THE VARIOUS COLLECTIONS AND SHALL PREPARE AND SUBMIT LIBRARY BUDGET REQUESTS AND RECOMMENDATIONS, AFTER CONSULTATION WITH THE LIBRARY STAFF AND LPAC.
4. WORK CLOSELY WITH LPAC, THE OFFICE OF FACILITIES PLANNING, WITH ARCHITECTS AND ACADEMIC UNITS IN DEVELOPING PLANS FOR EXPANSION, ADDITION OR REMODELING OF THE PHYSICAL PLANT OR THE UTILIZATION OF SPACE IN THE LIBRARIES WHEN CHANGES BECOME NECESSARY.
5. PRESENT FOR ACTION BY LPAC AND THE VICE PRESIDENT FOR ACADEMIC AFFAIRS SUCH PLANS FOR EXPANSION AND ADDITION OF BUILDINGS OR OTHER MAJOR CHANGES IN LIBRARY OPERATIONS.
6. SUBMIT TO THE PRESIDENT, AT THE CLOSE OF EACH FISCAL YEAR, A REPORT ON THE UNIVERSITY LIBRARIES, SUMMARIZING THE YEAR'S DEVELOPMENTS AND



ACHIEVEMENTS, OUTLINING SPECIAL PROBLEMS AS MAY SEEM APPROPRIATE, AND CITING THE FUTURE NEEDS OF THE LIBRARY SYSTEM.

7. DEVELOP, WITH THE ADVICE OF THE LIBRARY STAFF AND LPAC, POLICIES GOVERNING THE DAY-TO-DAY OPERATION OF THE LIBRARY SYSTEM.

8. MAKE RECOMMENDATIONS WITH REGARD TO LIBRARY POSITIONS, APPOINTMENTS, TRANSFERS, PROMOTIONS, AND TERMINATIONS, IN COMPLIANCE WITH EXISTING UNIVERSITY REGULATIONS AND WITH A VIEW TO MAINTAINING A QUALIFIED STAFF FOR THE UNIVERSITY LIBRARIES.

9. REPRESENT THE UNIVERSITY, PERSONALLY OR THROUGH A QUALIFIED DESIGNEE, AT NATIONAL, STATE, AND LOCAL LIBRARY CONFERENCES, AND AT INTER-LIBRARY AND INTER-INSTITUTIONAL MEETINGS THAT MAY INVOLVE THE UNIVERSITY LIBRARIES.

#### H. CHANGES IN INSTRUCTIONAL AND RESEARCH PROGRAMS AFFECTING THE LIBRARY

IN ORDER TO ASSURE A PROPER CONSIDERATION OF THE LIBRARY COSTS AND IMPLICATIONS OF ORGANIZATIONAL CHANGES IN THE UNIVERSITY OR OF THE ESTABLISHMENT OF NEW TEACHING AND RESEARCH PROGRAMS THAT MAY BE CONTEMPLATED, THE DIRECTOR OF LIBRARIES SHOULD BE CONSULTED WITH RESPECT TO THESE IMPLICATIONS BEFORE FINAL RECOMMENDATIONS FOR SUCH CHANGES ARE MADE.

#### I. UNIVERSITY ARCHIVES

THE DIRECTOR OF LIBRARIES HAS THE RESPONSIBILITY AND AUTHORITY AS UNIVERSITY ARCHIVIST TO DEVELOP AND MAINTAIN THE UNIVERSITY ARCHIVES. IN THE INTEREST OF ASSURING THE PROPER PRESERVATION OF MATERIALS PERTAINING TO THE HISTORY OF THE UNIVERSITY, A COPY OF EACH PUBLICATION ISSUED BY THE UNIVERSITY DEPARTMENTS OR OTHER UNITS SHALL BE SENT BY THE ISSUING OFFICER TO THE UNIVERSITY ARCHIVES; AND NO UNIVERSITY RECORDS, WHETHER COMMITTEE MINUTES AND REPORTS, DEPARTMENTAL FILES, PHOTOGRAPHS, ARCHITECTURAL DRAWINGS, OR RECORDINGS, SHALL BE PERMANENTLY DISCARDED WITHOUT THE APPROVAL OF THE UNIVERSITY ARCHIVIST OR A DESIGNATED REPRESENTATIVE. INACTIVE FILES MAY BE SENT TO THE UNIVERSITY ARCHIVES, OR THE ARCHIVES LIBRARIAN WILL ASSIST DEPARTMENTS IN DETERMINING WHAT KINDS OF MATERIALS SHOULD BE PRESERVED FOR THEIR HISTORICAL VALUE.

APPROVED: ACADEMIC SENATE 3/1/99

APPROVED: BOARD OF TRUSTEES 9/17/99

APPROVED: ACADEMIC SENATE 5/3/99

APPROVED: BOARD OF TRUSTEES 5/17/99

(THE SENATE CHANGES ON 3/1/99 WERE APPROVED ON 9/17/99 BY THE BOARD OF TRUSTEES EXECUTIVE COMMITTEE.)

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[REV 12](#)

I. IN THE FIRST TWO YEARS OF THE NEW COUNCIL, MEMBERSHIP WILL INCLUDE MEMBERS OF THE EXISTING UNDERGRADUATE AND LIBERAL EDUCATION COUNCILS TO COMPLETION OF THEIR TERMS WITHIN THE REPRESENTATION ESTABLISHED FOR THE NEW COUNCIL.

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